

Kentucky Board of Social Work  
Monthly Board Meeting  
July 8, 2024

**Board Members Present:**

Hank Cecil, LCSW  
Santosh Adhikary, LCSW  
Whitney Cassity-Caywood, Ph.D., LCSW  
Laura Guffey, LSW  
Lori Vogel, LCSW  
Tiffany Payton, Citizen At Large

**Staff Present:**

Marc Kelly, Executive Director  
Vanessa Jones, Executive Assistant  
Mark Brengelman, Board Attorney

**Call to Order**

Hank Cecil called the meeting to order at 11:30 a.m. ET.

**Board Minutes:**

June 10, 2024, work session minutes – a motion was made by Laura Guffey and seconded by Santosh Adhikary to approve the work session minutes including all motions contained within the June 10<sup>th</sup> meeting. Motion carried by unanimous voice vote.

**Operations Report:**

Marc Kelly, Executive Director, reported the following for the operations report:

Applications-127  
LSW licenses-8  
CSW Licenses-62  
LCSW Licenses-41  
Renewals-149  
Temp permits-16 clinical and 0 non-clinical  
Supervision contracts- 67 approved 4 deferred  
CEU providers-4 approved  
Total number of licensees-7,561

Hank Cecil reminded all that the new year contracts for legal and investigator services was approved and went into effect July 1, 2024. The contracts will last for 2 years.

Hank Cecil made a motion to accept the Operations report. Laura Guffey seconded. Motion carried by unanimous voice vote.

**Financial Report**

Hank Cecil reported board expenditures and revenues for month – June income: \$46,958.62; June expenses: \$41,760.02; Fund balance: \$671,047.57. This is the final month in the current year, and the new year budget started July 1, 2024. The balance in of the year end revenue will go back to the cash balance. He shared that the year-end report would be at the August meeting of the board and the first month in the new year.

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**Board Members Travel and Per Diem:**

Board members per diem and travel for today's (7/8/24) meeting – Santosh Adhikary made a motion to approve the Board's per diem and travel for the July 8<sup>th</sup> meeting. Lori Vogel seconded. Motion carried by unanimous voice vote.

**Committees**

**Application Committee**

**Laura Guffey, LSW**

Applicant 1 –The Committee recommends this applicant's application be approved to take the Master exam. Laura Guffey motioned to approve the committee's recommendation once the last document they requested was emailed for review and sent to Vanessa Jones. Motion carried by unanimous voice vote.

Applicant 2 – The Committee recommends that this applicant's application be approved for the Master exam. Laura Guffey made a motion to approve the committee's recommendation. Motion carried by unanimous voice vote.

**Complaint Committee**

**Santosh Adhikary, LCSW**

The committee made a recommendation and motion to dismiss **Complaint No. 24-33** as there are no violations under the law. Motion carried by unanimous voice vote.

The committee made a recommendation and motion to dismiss **Complaint No. 24-38** as there is insufficient evidence to support the initiating complaint. Motion carried by unanimous voice vote.

The committee recommended and motioned to enter an Assurance of Voluntary Compliance (AVC) on **Complaint No. 24-40**. Motion carried by unanimous voice vote.

The committee made a recommendation and motion to dismiss **Complaint No. 24-42** as there is insufficient evidence to support the initiating complaint as it is not related to the practice of social work. Motion carried by unanimous voice vote.

The committee made a recommendation and motion to dismiss **Complaint No. 24-44** as there is no violation under the law. Motion carried by unanimous voice vote.

The committee made a recommendation and motion to dismiss **Complaint No. 24-47** as there are no violations under the law. Hank Cecil recused himself during the complaint committee meeting and left the room until all other board members had completed this complaint during the full board meeting. Lori Vogel made a motion to accept this recommendation. Tiffany Peyton seconded. Motion carried by a voice vote of 4 Board members.

Santosh Adhikary reminded all that if their complaint was not reviewed and heard today; that means it is still under review or investigation.

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Hank Cecil reminded all that lack of response to the initial letter informing the social worker of a violation is a violation in itself, and the Board can move to a notice of administrative hearing when a response is not received within 20 days or the approved extension. The review process is delayed when responses are not received in time.

Mark Brengelman, the board attorney, requested approval to issue an order to the Board for no response to complaints 23-07 and 23-71. The board approved with voice vote.

**Old Business**

**ASWB updates-** Hank Cecil reported

Hank Cecil shared that the ASWB leadership meeting is scheduled for August 2 and 3, 2024, in New Mexico. He and Whitney Cassity-Caywood will attend. All ASWB committees will be meeting during this meeting.

Hank Cecil shared that there will be a new board member training in October and asked Tiffany Peyton to attend. She stated she will look into it.

Hank Cecil shared that he would like to have more specific information from ASWB on KY data for each license level, how long it took someone to pass the exam, how many tested, etc. He requested approval to request this information. Whitney Cassity-Caywood made a motion for him to request KY data from ASWB. Santosh Adhikary seconded. All approved by unanimous voice vote.

**Compact Licensing updates-** Hank Cecil reported

Hank Cecil shared that 22 states have passed the compact. He shared a map with board members to review. He stated the first meeting would be on September 17th, including these states. There will be 11 executive committee members, 7 state delegates for votes, and 4 nonvoters from social work professional organizations. He stated it can take 18 to 24 months before it gets enacted.

**IT Updates -** Hank Cecil reported

The application software is moving forward. Hank shared that he and Marc Kelly met with the IT personnel. They determined that the implementation would go live in steps, and the first step would be new applications only. He stated the applicant will create a profile and apply. Then, existing licensees will be added as a micro-batch based on renewal months. Each licensee will create a profile and only one profile. The county of residence is being added to the profile for reporting purposes.

**Regulations update** – Hank Cecil reported.

201 KAR 23:070 Supervision – Hank Cecil shared a copy of the draft regulation with all. He referred to several sections and discussion was held. The following are the notable sections were discussed:

Page 3 Section D – the 60% rule to be dropped and 3000 hours proposed as added instead; which equals 1500 hours per year because the intent is two years of doing direct practice;

Section 4 – An LCSW needs to be an LCSW for 2 years and have 4000 clinical practice hours. Santosh Adhikary requested the additional supervisor needs to sign and acknowledge the hours obtained along with the supervisor of record. Hank Cecil stated it can be added in Section 5-13 and have the supervised experience documentation forms to attest for the number of hours earned under each

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supervisor – the supervision contract and the additional supervision form and ensure they align so that supervisors understand their role in the contract;

The board discussed allowing employment less than 10 hours a week to be able to count the supervision hours received.

The transitional contract intent is for someone in another state who has obtained hours and is applying for their CSW in KY. The description needs revision to clarify this further.

A new section to add for the temporary suspension of supervision contract that will include how to report, etc. Hank asked all to review this section for next month's meeting.

Hank stated all the forms referenced in this regulation must be updated and shared draft copies. He then asked board members to think about the compact supervisors and if that needs to be in the regulation.

Hank shared the survey form for supervisors. He would like to email to all supervisors so they can share their input and thoughts. He stated the questions are based on the current regulation. Santosh Adhikary motioned to accept this survey and send it out to all supervisors. Lori Vogel seconded. All approved with unanimous voice vote.

SB255-KRS 335.158 – Hank Cecil reported

Hank Cecil shared that the next meeting with the Children's Alliance has not been set yet. He shared a draft response that includes complying with other jurisdictions' laws, and ensuring telehealth is available for persons with disabilities. He stated the KSCSW wants to amend the part about nonpublic technology so that clients can do so after being informed of the risks when using it.

Hank shared a revision of the definition of the practice of social work and Mark Brengleman suggested that there be a new section on emergency temporary suspension of licenses; certificates; and permits in order to protect the public.

**Code of Ethical Conduct Research Project** – Whitney Cassity-Caywood reported

Whitney shared an update on the project. She stated some of the data showed that the most licensees that participated were LCSWs; mental health was the reported as the most practice area; 83% received social work education in KY; and people are more familiar with NASW code rather than with the KY ethical code. She stated there was room for growth.

Whitney shared that 73% did not view that changes were needed, but provided some suggestions. Examples include: only use NASW code; and have the information online be more accessible.

Whitney stated she will continue to work on and provide updates. Hank stated that this is good information and thanked Whitney for her work on it. Marc Kelly stated the need to keep the CEU of KY ethics and to not use the NASW code.

**Student Intern Committee**– Hank Cecil reported

Hank shared that the committee will meet tomorrow July 9<sup>th</sup> and he hopes to be able to report more information at the August meeting.

**New Business**

**Artificial Intelligence Task Force**– Hank Cecil reported

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Hank reported that the legislature established the AI Task Force. The first meeting is set for tomorrow, July 9, and there will be another one in August and possibly again in September. The board will monitor the meetings and any legislation being proposed. The board will speak to the Task Force if requested.

**Announcements** – none at this time

**Adjournment** - Whitney Cassity-Caywood motioned to adjourn the meeting at 1:08 pm. Seconded by Laura Guffey. Motion carried by unanimous voice vote. Meeting adjourned.

**Next meeting:** **MONDAY, AUGUST 12<sup>th</sup>**, 2024, at 11:30 am ET at the Board Office, 125 Holmes Street, Suite 310, Frankfort, KY 40601, or via the board's YouTube channel.

Respectfully submitted,

  
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Board Secretary *Chris*

Board Approved: \_\_\_\_\_  
Date *8/12/2024*